

## Maxim Group Coverage Universe

Published as of 10/16/2020	Ticker	Rating	Price 10/15/20	Target Price	52-Week Low	52-Week High	Market Cap (\$M)	ADV (M) 90D Avg
<b>HEALTHCARE</b>								
<b>Anthony Vendetti (212-895-3802)</b>								
<b>Aesthetic Devices / Products</b>								
Cutera, Inc.	CUTR	Hold	\$19.85	NA	\$9.07	\$39.15	\$349	0.21
Sensus Healthcare, Inc	SRTS	Buy	\$2.78	\$6.00	\$1.76	\$5.84	\$46	0.02
Sientra, Inc.	SIEN	Buy	\$4.43	\$8.00	\$1.00	\$9.71	\$223	0.91
Soliton, Inc.	SOLY	Buy	\$8.18	\$22.00	\$5.69	\$15.50	\$173	0.32
Timber Pharmaceuticals, Inc	TMBR	Hold	\$1.04	NA	\$0.87	\$12.60	\$13	0.73
Venus Concept Inc.	VERO	Buy	\$2.59	\$8.00	\$2.02	\$9.00	\$104	0.24
<b>Health &amp; Wellness</b>								
Barfresh Food Group, Inc.*	BRFH	Buy	\$0.28	\$1.00	\$0.21	\$0.55	\$40	0.08
Celsius Holdings, Inc.	CELH	Buy	\$21.36	\$30.00	\$3.13	\$26.76	\$1,529	0.95
Hain Celestial Group Inc.	HAIN	Buy	\$36.43	\$40.00	\$18.12	\$36.90	\$3,674	0.70
Landec Corporation	LNDC	Buy	\$10.36	\$15.00	\$7.48	\$12.09	\$303	0.18
Reed's, Inc.	REED	Buy	\$1.01	\$2.50	\$0.36	\$1.64	\$64	0.59
Yield10 Bioscience, Inc.	YTEN	Buy	\$6.54	\$12.00	\$3.50	\$26.80	\$18	0.97
<b>Medical Devices</b>								
BIOLASE, Inc.	BIOL	Buy	\$0.31	\$1.00	\$0.21	\$1.07	\$29	6.52
CHF Solutions, Inc.	CHFS	Buy	\$0.32	\$1.50	\$0.28	\$1.90	\$26	11.07
Daxor Corporation	DXR	Buy	\$14.84	\$22.00	\$7.85	\$24.08	\$58	0.01
Dynatronics Corporation	DYNT	Buy	\$0.72	\$2.00	\$0.63	\$3.70	\$10	0.90
electroCore, Inc.	ECOR	Buy	\$1.80	\$3.00	\$0.32	\$3.30	\$80	4.18
Milestone Scientific, Inc.	MLSS	Buy	\$1.89	\$4.00	\$0.85	\$3.05	\$124	0.45
Nephros, Inc.	NEPH	Buy	\$6.57	\$16.00	\$4.42	\$11.35	\$59	0.01
PAVmed Inc.	PAVM	Buy	\$1.99	\$5.00	\$0.81	\$3.45	\$99	0.74
Ra Medical Systems, Inc.	RMED	Buy	\$0.26	\$1.00	\$0.21	\$2.69	\$19	5.59
Zosano Pharma Corporation	ZSAN	Buy	\$0.65	\$2.00	\$0.41	\$2.45	\$52	5.00
<b>Healthcare &amp; IT Services</b>								
Applied DNA Sciences Inc.	APDN	Buy	\$7.90	\$21.00	\$2.52	\$19.69	\$41	0.94
The Joint Corp.	JYNT	Buy	\$18.80	\$22.00	\$7.67	\$21.75	\$262	0.07
<b>HEALTHCARE</b>								
<b>Jason McCarthy, Ph.D. (212-895-3556)</b>								
<b>Biotechnology</b>								
Achieve Life Sciences, Inc.	ACHV	Buy	\$8.77	\$21.00	\$4.51	\$32.78	\$31	0.44
Actinium Pharmaceuticals, Inc.	ATNM	Buy	\$11.60	\$25.00	\$4.71	\$19.47	\$158	0.59
Adamis Pharmaceuticals Corporation	ADMP	Buy	\$0.91	\$1.50	\$0.27	\$1.51	\$85	5.69
Adial Pharmaceuticals, Inc.	ADIL	Buy	\$1.97	\$5.00	\$1.00	\$4.00	\$27	2.17
ADMA Biologics, Inc.	ADMA	Buy	\$2.34	\$6.00	\$1.45	\$5.25	\$202	5.21
Agile Therapeutics, Inc.	AGRX	Buy	\$3.09	\$8.00	\$0.35	\$4.77	\$270	1.52
AIM ImmunoTech Inc.	AIM	Buy	\$2.31	\$5.00	\$0.38	\$7.11	\$92	4.90
Annovis Bio, Inc.	ANVS	Buy	\$4.96	\$12.00	\$2.42	\$10.61	\$34	0.62
Antibe Therapeutics Inc.	ATE.V	Buy	C\$0.36	C\$1.00	C\$0.33	C\$0.89	C\$138	1.03
Appili Therapeutics Inc.	APLI.TO	Buy	\$1.08	\$2.50	\$0.24	\$1.43	\$67	0.12
Aptose Biosciences Inc.	APTO	Buy	\$5.71	\$12.00	\$1.82	\$9.25	\$503	0.85
Arch Therapeutics, Inc.*	ARTH	Hold	\$0.18	NA	\$0.12	\$0.30	\$34	0.36
Aridis Pharmaceuticals, Inc.	ARDS	Buy	\$7.85	\$17.00	\$3.80	\$10.18	\$70	0.01
Armata Pharmaceuticals, Inc.	ARMP	Buy	\$3.50	\$8.00	\$2.52	\$6.92	\$65	0.02
Artelo Biosciences, Inc.	ARTL	Hold	\$0.65	NA	\$0.59	\$4.42	\$10	0.90
Atossa Therapeutics, Inc.	ATOS	Buy	\$2.18	\$8.00	\$0.76	\$5.08	\$23	0.63
AzurRx BioPharma, Inc.	AZRX	Buy	\$0.79	\$3.00	\$0.37	\$1.94	\$23	0.32
BeiGene, Ltd.	BGNE	Buy	\$304.07	\$250.00	\$118.55	\$318.29	\$27,684	0.27
Bellerophon Therapeutics, Inc.	BLPH	Buy	\$9.32	\$22.00	\$3.19	\$26.00	\$89	0.11
BioCardia, Inc.	BCDA	Buy	\$2.37	\$7.00	\$2.01	\$6.75	\$29	0.20
Biocept, Inc.	BIOC	Buy	\$4.76	\$20.00	\$2.11	\$13.00	\$64	2.07
BioLife Solutions, Inc.	BLFS	Buy	\$27.50	\$32.00	\$7.37	\$30.27	\$892	0.34
Bionano Genomics, Inc.	BNGO	Buy	\$0.58	\$2.00	\$0.25	\$3.98	\$80	18.28
BioLineRx Ltd.	BLRX	Buy	\$1.58	\$5.00	\$1.06	\$3.04	\$33	0.36
BioPorto A/S	BIOPOR.CO	Buy	\$0.56	\$1.00	\$0.21	\$0.73	\$150	0.95
bluebird bio, Inc.	BLUE	Buy	\$55.28	\$130.00	\$38.95	\$99.36	\$3,661	0.81
Brainstorm Cell Therapeutics Inc.	BCLI	Buy	\$15.29	\$20.00	\$3.58	\$17.95	\$482	0.63
Capricor Therapeutics, Inc.	CAPR	Buy	\$4.87	\$12.00	\$0.88	\$12.32	\$96	2.19
Cassava Sciences, Inc.	SAVA	Buy	\$10.89	\$14.00	\$1.05	\$12.68	\$271	5.67
Cerecor Inc.	CERC	Hold	\$2.40	NA	\$1.52	\$6.19	\$180	0.55
Cardiff Oncology	CRDF	Buy	\$14.65	\$14.00	\$0.70	\$18.12	\$442	1.74
Collectar Biosciences, Inc.	CLRB	Buy	\$1.23	\$3.00	\$1.01	\$3.33	\$33	0.75

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<b>Jason McCarthy, Ph.D. cont'd</b>								
Cidara Therapeutics, Inc.	CDTX	Buy	\$3.00	\$10.00	\$1.30	\$4.45	\$129	0.25
Co-Diagnostics, Inc.	CODX	Buy	\$14.07	\$30.00	\$0.85	\$30.99	\$395	3.60
Coherus Biosciences, Inc.	CHRS	Buy	\$18.09	\$27.00	\$10.86	\$23.03	\$1,293	1.035
ContraFect Corporation	CFRX	Buy	\$5.34	\$22.00	\$2.90	\$13.40	\$149	0.30
Cytosorbents Corporation	CTSO	Buy	\$8.46	\$14.00	\$3.49	\$11.74	\$365	0.47
Daré Bioscience, Inc.	DARE	Buy	\$1.05	\$3.00	\$0.69	\$2.22	\$28	0.840
DiaMedica Therapeutics Inc.	DMAC	Buy	\$4.67	\$14.00	\$1.87	\$8.80	\$88	0.06
Entera Bio Ltd.	ENTX	Buy	\$1.14	\$4.00	\$1.00	\$3.40	\$21	0.54
Galmed Pharmaceuticals Ltd.	GLMD	Buy	\$3.20	\$10.00	\$3.02	\$7.38	\$68	0.08
Gilead Sciences, Inc.	GILD	Buy	\$62.96	\$88.00	\$61.65	\$85.97	\$78,934	8.671
Heat Biologics, Inc.	HTBX	Buy	\$1.32	\$5.00	\$0.20	\$4.30	\$196	25.13
Immutep Limited	IMMP	Buy	\$1.70	\$2.00	\$0.53	\$3.10	\$86	1.07
INmune Bio Inc.	INMB	Buy	\$8.70	\$16.00	\$2.20	\$24.42	\$117	0.852
Inovio Pharmaceuticals, Inc.	INO	Buy	\$12.21	\$20.00	\$2.09	\$33.79	\$2,045	29.22
Intec Pharma Ltd.	NTEC	Hold	\$0.24	NA	\$0.13	\$0.79	\$19	6.18
Interpace Biosciences, Inc.	IDXG	Buy	\$4.34	\$12.00	\$2.57	\$11.00	\$18	0.92
Kintara Therapeutics, Inc.	KTRA	Buy	\$1.47	\$3.00	\$0.38	\$1.95	\$35	0.87
Lineage Cell Therapeutics, Inc.	LCTX	Buy	\$1.35	\$3.00	\$0.53	\$1.67	\$202	1.00
Matinas BioPharma Holdings, Inc.	MTNB	Buy	\$0.87	\$3.00	\$0.49	\$2.49	\$173	2.30
Medicenna Therapeutics Corp.	MDNA	Buy	\$3.95	C\$12.00	\$0.88	\$5.32	\$199	0.05
Mesoblast Limited	MESO	Buy	\$11.27	\$18.00	\$3.12	\$21.28	\$1,294	0.97
Moleculin Biotech, Inc.	MBRX	Buy	\$0.81	\$3.00	\$0.32	\$1.97	\$50	2.44
Monopar Therapeutics Inc.	MNPR	Buy	\$4.91	\$11.00	\$4.77	\$48.00	\$53	0.13
Navidea Biopharmaceuticals, Inc.	NAVB	Buy	\$2.65	\$7.00	\$0.63	\$5.36	\$70	0.34
Omeros Corporation	OMER	Buy	\$11.46	\$20.00	\$8.50	\$25.46	\$704	1.24
Onconova Therapeutics, Inc.	ONTX	Hold	\$0.30	NA	\$0.10	\$1.56	\$56	19.99
OncoSec Medical Incorporated	ONCS	Buy	\$3.96	\$5.00	\$1.04	\$5.40	\$110	0.33
Pluristem Therapeutics Inc.	PSTI	Buy	\$10.26	\$12.00	\$2.82	\$13.29	\$262	0.46
Plus Therapeutics, Inc.	PSTV	Buy	\$2.56	\$6.00	\$0.93	\$3.56	\$11	0.83
Precipio, Inc.	PRPO	Buy	\$2.31	\$2.00	\$0.55	\$8.00	\$38	3.16
Reneuron Group plc *	RENE.L	Buy	\$1.20	\$4.00	\$0.91	\$2.31	\$39	0.05
SCYNEXIS, Inc.	SCYX	Buy	\$5.20	\$15.00	\$4.20	\$14.40	\$55	0.08
SELLAS Life Sciences Group Ltd.	SLS	Buy	\$2.63	\$8.00	\$1.46	\$10.89	\$25	0.192
Soligenix, Inc.	SGNX	Buy	\$1.75	\$4.00	\$0.85	\$3.54	\$52	0.94
Sona Nanotech, Inc. *	SONA.CD	Buy	\$6.98	\$7.00	\$0.01	\$12.15	\$366	0.54
Synthetic Biologics, Inc.	SYN	Hold	\$0.33	NA	\$0.25	\$0.75	\$6	0.67
TFF Pharmaceuticals, Inc.	TFFP	Buy	\$16.33	\$18.00	\$3.44	\$19.00	\$355	0.43
Titan Pharmaceuticals, Inc.	TTNP	Hold	\$0.14	NA	\$0.14	\$0.55	\$17	6.63
Vertex Pharmaceuticals Incorporated	VRTX	Hold	\$215.28	NA	\$174.82	\$306.08	\$56,073	1.52
Viking Therapeutics, Inc.	VKTX	Buy	\$5.88	\$14.00	\$3.26	\$8.87	\$428	1.30
VistaGen Therapeutics, Inc.	VTGN	Buy	\$0.76	\$3.00	\$0.29	\$1.49	\$59	1.91
VollitionRx Limited	VNRX	Buy	\$3.65	\$8.00	\$2.22	\$6.20	\$175	0.45
Xenetic Biosciences, Inc.	XBIO	Buy	\$0.92	\$2.50	\$0.44	\$1.85	\$6	0.47
<b>FINANCIAL SERVICES</b>								
<b>Michael Diana (212-895-3641)</b>								
<b>BDCs</b>								
First Eagle Alternative Capital BDC, Inc.	FCRD	Hold	\$2.55	NA	\$1.56	\$7.00	\$77	0.08
Garrison Capital Inc.	GARS	Hold	\$3.90	NA	\$1.04	\$6.80	\$63	0.06
Horizon Technology Finance Corporation	HRZN	Buy	\$13.33	\$12.50	\$4.51	\$13.71	\$231	0.14
MVC Capital, Inc.	MVC	Hold	\$7.97	NA	\$3.00	\$10.45	\$141	0.05
PennantPark Floating Rate Capital Ltd.	PFLT	Hold	\$8.65	NA	\$3.34	\$12.67	\$335	0.17
Saratoga Investment Corp.	SAR	Buy	\$20.30	\$27.00	\$5.94	\$28.70	\$227	0.06
Solar Senior Capital Ltd.	SUNS	Buy	\$13.29	\$17.00	\$6.30	\$18.29	\$213	0.05
<b>financial services</b>								
Heritage Global Inc.	HGBL	Buy	\$1.85	\$5.00	\$0.55	\$4.20	\$65	0.08
<b>fintech</b>								
Elevate Credit, Inc.	ELVT	Hold	\$2.85	NA	\$0.89	\$5.98	\$116	0.44
Enova International, Inc.	ENVA	Hold	\$17.63	NA	\$7.84	\$29.33	\$531	0.38
FlexShopper, Inc.	FPAY	Buy	\$1.78	\$3.50	\$0.95	\$3.22	\$38	0.18
LendingClub Corporation	LC	Hold	\$4.98	NA	\$4.32	\$15.29	\$356	1.23
Paysign, Inc.	PAYS	Buy	\$5.85	\$10.00	\$3.63	\$12.44	\$290	0.65
Usio, Inc.	USIO	Buy	\$1.58	\$4.00	\$0.75	\$3.72	\$39	0.08

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<b>Michael Diana cont'd</b>								
<b>REITS</b>								
AGNC Investment Corp.	AGNC	Buy	\$14.18	\$15.00	\$6.25	\$19.65	\$7,877	7.34
Arlington Asset Investment Corp.	AI	Hold	\$2.79	NA	\$1.40	\$6.77	\$103	0.30
Ellington Financial LLC	EFC	Buy	\$13.12	\$17.00	\$3.24	\$19.12	\$574	0.27
Gladstone Land Corporation	LAND	Buy	\$14.29	\$20.00	\$9.61	\$16.76	\$341	0.17
Manhattan Bridge Capital, Inc.	LOAN	Buy	\$4.37	\$6.00	\$2.54	\$6.50	\$42	0.03
New York Mortgage Trust, Inc.	NYMT	Hold	\$2.66	NA	\$0.98	\$6.47	\$1,005	4.30
Two Harbors Investment Corp.	TWO	Hold	\$5.31	NA	\$2.25	\$15.85	\$1,453	5.56
UMH Properties, Inc.	UMH	Buy	\$14.11	\$18.00	\$8.63	\$16.64	\$583	0.18
Whitstone REIT	WSR	Buy	\$6.18	\$13.00	\$4.71	\$14.65	\$262	0.31
<b>Small-Mid Cap Banks</b>								
County Bancorp, Inc.	ICBK	Buy	\$19.81	\$25.00	\$13.55	\$27.98	\$125	0.02
Cullen/Frost Bankers, Inc.	CFR	Buy	\$68.90	\$91.00	\$47.69	\$99.42	\$4,320	0.37
Customers Bancorp, Inc.	CUBI	Buy	\$12.76	\$27.00	\$8.36	\$25.72	\$402	0.19
First Republic Bank	FRC	Buy	\$125.62	\$150.00	\$70.06	\$128.79	\$21,621	0.80
First Savings Financial Group, Inc.	FSFG	Buy	\$54.68	\$60.00	\$29.50	\$68.77	\$130	0.01
Nicolet Bankshares, Inc.	NCBS	Buy	\$59.94	\$70.00	\$45.33	\$75.99	\$624	0.03
QCR Holdings, Inc.	QCRH	Buy	\$29.72	\$37.00	\$22.39	\$44.76	\$469	0.06
Signature Bank	SBNY	Buy	\$86.95	\$155.00	\$68.98	\$148.64	\$4,657	0.42
Sterling Bancorp	STL	Buy	\$12.27	\$20.00	\$7.01	\$21.63	\$2,386	2.68
SVB Financial Group	SIVB	Buy	\$273.39	\$335.00	\$127.39	\$278.49	\$14,151	0.31
Westamerica Bancorporation	WABC	Buy	\$55.48	\$65.00	\$46.94	\$69.08	\$1,494	0.12
<b>TECHNOLOGY / MEDIA / TELECOM</b>								
<b>Jack Vander Aarde (212-895-3619)</b>								
<b>IT &amp; Software Services</b>								
Alarm.com Holdings, Inc.	ALRM	Buy	\$60.85	\$79.00	\$32.00	\$74.66	\$2,980	0.48
Avid Technology, Inc.	AVID	Buy	\$8.85	\$14.00	\$4.67	\$9.66	\$389	0.41
BIO-key International, Inc.	BKYI	Hold	\$0.48	NA	\$0.35	\$2.58	\$30	7.58
Boxlight Corporation	BOXL	Buy	\$1.74	\$6.00	\$0.33	\$4.65	\$88	14.21
HyreCar Inc.	HYRE	Buy	\$3.89	\$7.00	\$0.88	\$4.36	\$69	0.24
Perficient, Inc.	PRFT	Buy	\$45.01	\$51.00	\$18.88	\$53.76	\$1,497	0.37
Summit Wireless Technologies, Inc.	WISA	Buy	\$2.12	\$5.00	\$1.72	\$19.80	\$17	0.64
TuanChe Limited	TC	Buy	\$0.82	\$3.00	\$0.67	\$2.85	\$66	0.03
Turtle Beach Corporation	HEAR	Buy	\$18.46	\$26.00	\$4.05	\$20.95	\$269	0.75
Universal mCloud Corp.*	MCLD.V	Buy	C\$2.50	C\$6.00	C\$2.07	C\$6.50	C\$69	0.06
Vuzix Corporation	VUZI	Buy	\$4.68	\$6.50	\$0.86	\$5.31	\$200	2.43
WiMi Hologram Cloud Inc.	WIMI	Hold	\$5.74	NA	\$3.20	\$29.50	\$383	4.84
<b>Media</b>								
Dolphin Entertainment, Inc.	DLPN	Buy	\$0.70	\$2.00	\$0.33	\$2.49	\$23	2.20
LiveXLive Media, Inc.	LIVX	Buy	\$2.32	\$5.00	\$0.72	\$4.89	\$161	0.94
<b>Consumer Hardware</b>								
ToughBuilt Industries, Inc.	TBLT	Buy	\$0.85	\$2.00	\$0.62	\$4.80	\$33	2.52
<b>INDUSTRIALS</b>								
<b>Tate Sullivan, CFA (212-895-3527)</b>								
<b>Industrial Equipment and Services</b>								
ABM Industries Incorporated	ABM	Hold	\$34.98	NA	\$19.79	\$40.78	\$2,333	0.45
American Resources Corporation	AREC	Hold	\$1.76	NA	\$0.32	\$4.93	\$65	2.16
Aegion Corp	AEGN	Hold	\$15.94	NA	\$11.40	\$23.65	\$490	0.11
Badger Meter, Inc.	BMI	Hold	\$71.83	NA	\$41.50	\$72.76	\$2,092	0.15
Beam Global	BEEM	Buy	\$16.11	\$25.00	\$3.90	\$17.79	\$108	0.26
BioHiTech Global, Inc.	BHTG	Buy	\$1.33	\$3.25	\$1.01	\$4.40	\$30	1.69
BWX Technologies, Inc.	BWXT	Buy	\$58.91	\$75.00	\$40.40	\$70.57	\$5,613	0.49
Capstone Turbine Corporation	CPST	Hold	\$6.04	NA	\$1.00	\$6.80	\$67	0.21
CECO Environmental Corp.	CECE	Buy	\$7.82	\$9.00	\$3.53	\$9.00	\$278	0.09
Digirad Corporation	DRAD	Buy	\$2.71	\$6.00	\$1.99	\$8.84	\$13	0.24
EMCOR Group, Inc.	EME	Hold	\$71.53	NA	\$41.85	\$93.54	\$3,927	0.33
Graham Corporation	GHM	Buy	\$14.10	\$17.00	\$10.36	\$23.77	\$141	0.03
GSE Systems, Inc.	GVP	Buy	\$1.03	\$1.50	\$0.86	\$1.94	\$21	0.06
Mistras Group, Inc.	MG	Hold	\$3.95	NA	\$2.77	\$16.56	\$115	0.26
Natural Gas Services Group, Inc.	NGS	Buy	\$9.44	\$12.00	\$2.62	\$13.14	\$127	0.09
NV5 Global, Inc.	NVEE	Hold	\$61.30	NA	\$27.00	\$74.63	\$810	0.08
Recon Technology, Ltd.	RCON	Hold	\$0.98	NA	\$0.75	\$5.55	\$7	0.36
SINTX Technologies, Inc.	SINT	Buy	\$1.95	\$4.50	\$0.28	\$3.30	\$48	7.81
Tetra Tech, Inc.	TTEK	Buy	\$109.60	\$110.00	\$63.61	\$112.56	\$5,906	0.28

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<b>Shipping</b>								
EuroDry Ltd.	EDRY	Buy	\$4.18	\$7.50	\$2.98	\$13.20	\$10	0.06
Euroseas Ltd.	ESEA	Buy	\$3.10	\$4.75	\$1.23	\$6.24	\$17	0.22
Seanergy Maritime Holdings Corp.	SHIP	Hold	\$0.49	NA	\$0.39	\$14.37	\$32	5.82
Top Ships Inc.	TOPS	Hold	\$1.17	NA	\$0.95	\$120.00	\$47	4.78
<b>Utilites</b>								
Chesapeake Utilities Corporation	CPK	Buy	\$88.34	\$115.00	\$69.47	\$101.29	\$1,457	0.14
Northwest Natural Holding Company	NWN	Buy	\$46.49	\$74.00	\$43.34	\$77.26	\$1,420	0.17
Otter Tail Corporation	OTTR	Buy	\$38.96	\$50.00	\$30.95	\$57.74	\$1,592	0.13
South Jersey Industries, Inc.	SJI	Buy	\$19.97	\$36.00	\$18.24	\$33.43	\$2,009	1.06
<b>Research Associates</b>								
Matthew Bullock (212-895-3656)								
Albert Kim (212-895-3741)								
John Kim (212-895-3517)								
Joanne Lee (212-895-3780)								
Adheip Mally (212-895-3718)								
Michael Okunewitch (212-895-3579)								
Jeremy Pearlman, CPA (212-895-3514)								
Naz Rahman (212-895-3642)								
<b>SPOTLIGHT COMPANIES</b>								
Aemetis, Inc.	AMTX	NR	\$3.54	-	\$0.37	\$3.90	\$74	0.23
ADGS Advisory Limited*	ADGS	NR	\$1.60	-	\$0.01	\$8.30	\$59	0.01
Advanced Inhalation Therapies Ltd.	AIT	NR	\$61.64	-	\$30.66	\$70.61	\$2,389	0.07
Andromeda Biotech Ltd.	-	NR	private	-	-	-	-	-
BioHeart, Inc.	-	NR	private	-	-	-	-	-
BlueSphere Corp.	BLSP	NR	\$0.0001	-	\$0.00	\$0.00	\$1	22.16
CalAmp Corp.	CAMP	NR	\$7.88	-	\$3.70	\$12.14	\$276	0.12
Clean Coal Technologies, Inc.	CCTC	NR	\$0.01	-	\$0.01	\$0.09	\$2	2.76
Critical Outcome Technologies Inc.*	COT	NR	\$0.03	-	\$0.01	\$0.18	\$0.4	0.00
Nuo Therapeutics (formerly Cytomedix)	AURX	NR	\$0.15	-	\$0.01	\$0.19	\$4	0.01
Echo Therapeutics, Inc.	ECTE	NR	\$0.01	-	\$0.00	\$0.02	\$0.1	0.01
FluoroPharma Medical, Inc.	FPMI	NR	\$0.002	-	\$0.00	\$0.02	\$0.1	0.01
Itamar Medical Ltd.	ITMR.TA	NR	ILS 240.40	-	ILS 94.7	ILS 314.5	ILS 1,021	1.26
Taronis Technologies, Inc.	TRNX	NR	\$0.026	-	\$0.02	\$2.78	\$5	1.90
Medifocus, Inc.*	MDFZF	NR	\$0.003	-	\$0.00	\$0.02	\$1	0.01
Medigus Ltd.	MDGS.TA	NR	ILS 41.00	-	ILS 14.5	ILS 77.0	ILS 63	2.01
NanoViricides Inc.	NNVC	NR	\$3.95	-	\$1.81	\$19.20	\$42	1.13
NeuroDerm Ltd.	-	NR	private	-	-	-	-	-
Organovo Holdings, Inc.	ONVO	NR	\$9.06	-	\$3.87	\$18.60	\$59	0.02
RedHill Biopharma Ltd.	RDHL	NR	\$10.01	-	\$3.26	\$11.35	\$374	0.38
Tengion, Inc.	TNGNQ	NR	\$0.0010	-	\$0.00	\$0.00	\$0.04	0.02
Vascular Biogenics Ltd.	VBLT	NR	\$1.25	-	\$0.90	\$1.64	\$60	0.57
Verity Corp.*	VRTY	NR	\$0.05	-	\$0.01	\$0.25	\$6.3	0.07
Warren Resources, Inc.	-	NR	private	-	-	-	-	-
XTL Biopharmaceuticals, Ltd.	XTL.TA	NR	ILS 7.00	-	ILS 3.4	ILS 8.4	ILS 36	2.01
<b>SUSPENDED COMPANIES</b>								
Agilysys, Inc.	AGYS	Suspended	\$26.66	-	\$12.61	\$37.17	\$629	0.19
Allscripts Healthcare Solutions, Inc.	MDRX	Suspended	\$11.27	-	\$4.56	\$11.82	\$1,837	1.98
Astec Industries, Inc.	ASTE	Suspended	\$57.82	-	\$25.17	\$60.28	\$1,307	0.07
ATN International, Inc.	ATNI	Suspended	\$47.64	-	\$37.01	\$79.64	\$761	0.05
Chicken Soup for the Soul Entertainment, Inc.	CSSE	Suspended	\$14.32	-	\$4.66	\$17.90	\$181	0.04
Eagle Bulk Shipping Inc.	EGLE	Suspended	\$17.37	-	\$8.89	\$34.13	\$191	0.07
Ebix, Inc.	EBIX	Suspended	\$21.25	-	\$8.75	\$44.39	\$657	0.30
Emerald Bioscience Inc.*	EMBI	Suspended	\$0.04	-	\$0.04	\$0.41	\$10	0.67
Emmaus Life Sciences, Inc.	EMMA	Suspended	\$0.80	-	\$0.75	\$3.25	\$52	0.02
ENSERVO Corp.	ENSV	Suspended	\$0.15	-	\$0.07	\$0.39	\$11	5.47
EnerSys	ENS	Suspended	\$73.34	-	\$35.21	\$80.22	\$3,115	0.23
Forrester Research, Inc.	FORR	Suspended	\$37.57	-	\$22.45	\$50.00	\$710	0.04
Good Times Restaurants Inc.	GTIM	Suspended	\$1.60	-	\$0.45	\$2.20	\$20	0.04
Granite Construction	GVA	Suspended	\$19.27	-	\$8.90	\$37.71	\$901	0.39
Groupon Inc.	GRPN	Suspended	\$20.14	-	\$9.60	\$63.20	\$579	2.47
GWG Holdings, Inc.	GWGH	Suspended	\$9.07	-	\$6.50	\$10.70	\$300	0.01
Hancock Jaffe Laboratories, Inc.	HJLI	Suspended	\$0.39	-	\$0.21	\$0.85	\$19	8.95
Helios and Matheson Analytics Inc.	HMNY	Suspended	\$0.00	-	\$0.00	\$0.00	\$1	8.62

Published as of 10/16/2020	Ticker	Rating	Price 10/15/20	Target Price	52-Week Low	52-Week High	Market Cap (\$M)	ADV (M) 90D Avg
<b>Suspended Companies cont'd</b>								
Identiv, Inc.	INVE	Suspended	\$6.38	-	\$2.11	\$7.04	\$114	0.13
IEC Electronics Corp.	IEC	Suspended	\$9.06	-	\$5.00	\$9.70	\$96	0.04
iMedia Brands, Inc.	IMBI	Suspended	\$5.80	-	\$1.35	\$8.86	\$73	0.17
InMed Pharmaceuticals Inc.	IMLFF	Suspended	\$3.47	-	\$3.04	\$10.63	\$18	0.01
Intevac, Inc.	IVAC	Suspended	\$5.55	-	\$3.17	\$7.68	\$131	0.10
Kura Sushi USA, Inc.	KRUS	Suspended	\$14.99	-	\$5.07	\$28.80	\$125	0.07
LivePerson Inc.	LPSN	Suspended	\$59.50	-	\$14.09	\$63.80	\$3,937	0.35
Magic Software Enterprises Ltd.	MGIC	Suspended	\$14.19	-	\$6.34	\$16.84	\$694	0.02
MYR Group Inc.	MYRG	Suspended	\$45.37	-	\$16.37	\$45.71	\$758	0.04
National Beverage Corp.	FIZZ	Suspended	\$82.52	-	\$35.71	\$86.27	\$3,848	0.30
NetSol Technologies, Inc.	NTWK	Suspended	\$2.88	-	\$2.01	\$5.80	\$34	0.01
Net 1 UEPS Technologies, Inc.	UEPS	Suspended	\$3.19	-	\$2.70	\$4.45	\$182	0.16
New Age Beverages Corporation	NBEV	Suspended	\$2.32	-	\$0.98	\$3.06	\$227	2.64
Nova Eye Medical Limited	ELXMF	Suspended	\$0.22	-	\$0.17	\$0.33	\$33	0.30
Nutanix, Inc.	NTNX	Suspended	\$24.39	-	\$11.31	\$37.86	\$4,926	3.45
NXT-ID, Inc.	NXTD	Suspended	\$0.36	-	\$0.21	\$0.89	\$13	3.75
Overstock.com, Inc.	OSTK	Suspended	\$80.34	-	\$2.53	\$128.50	\$3,413	6.09
Pareteum Corporation	TEUM	Suspended	\$0.64	-	\$0.29	\$1.15	\$84	1.90
Pitney Bowes Inc.	PBI	Suspended	\$6.26	-	\$1.67	\$7.16	\$1,083	3.02
Powerbridge Technologies Co., Ltd.	PBTS	Suspended	\$2.44	-	\$1.75	\$5.45	\$22	0.09
Profire Energy, Inc.	PFIE	Suspended	\$0.70	-	\$0.62	\$2.02	\$33	0.13
ProMIS Neurosciences, Inc.*	PMN.TO	Suspended	\$0.13	-	\$0.08	\$0.23	\$28	0.40
Pure Storage, Inc.	PSTG	Suspended	\$18.27	-	\$7.93	\$20.50	\$4,900	3.98
Pyxis Tankers Inc.	PXS	Suspended	\$0.97	-	\$0.62	\$1.80	\$21	0.11
Quotient Technology Inc.	QUOT	Suspended	\$8.37	-	\$4.55	\$11.42	\$757	0.53
Reebonz Holding Limited	RBZHF	Suspended	\$0.04	-	\$0.03	\$2.40	\$0.3	0.46
RiceBran Technologies	RIBT	Suspended	\$0.46	-	\$0.37	\$2.97	\$18	0.29
RigNet, Inc.	RNET	Suspended	\$4.33	-	\$0.77	\$6.91	\$89	1.10
RumbleON, Inc.	RMBL	Suspended	\$37.35	-	\$3.00	\$68.90	\$83	0.80
Safe Bulkers, Inc.	SB	Suspended	\$1.03	-	\$0.74	\$1.99	\$105	0.15
Sify Technologies Limited	SIFY	Suspended	\$1.19	-	\$0.56	\$1.48	\$213	0.16
Sino-Global Shipping America, Ltd.	SINO	Suspended	\$1.63	-	\$1.37	\$4.90	\$7	0.10
Stamps.com Inc.	STMP	Suspended	\$271.71	-	\$73.14	\$325.13	\$4,775	0.32
Sterling Construction Company, Inc.	STRL	Suspended	\$15.42	-	\$6.72	\$17.46	\$433	0.07
Super Micro Computer, Inc.	SMCI	Suspended	\$27.66	-	\$15.76	\$33.30	\$1,450	0.56
Tecogen Inc.	TGEN	Suspended	\$1.12	-	\$0.60	\$2.75	\$28	0.03
Titan Medical Inc.	TMDI	Suspended	\$0.76	-	\$0.12	\$1.75	\$63	1.21
VirTra, Inc.	VTSI	Suspended	\$3.96	-	\$2.00	\$5.30	\$31	0.06
Viveve Medical, Inc.	VIVE	Suspended	\$0.55	-	\$0.48	\$6.24	\$9	4.13
Woodward, Inc.	WWD	Suspended	\$85.93	-	\$46.53	\$129.03	\$5,361	0.15
Xtant Medical Holdings, Inc.	XTNT	Suspended	\$1.59	-	\$0.55	\$3.50	\$113	2.32

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