

COMPANY	SYMBOL
3PEA International Inc.	TPNL
Abeona Therapeutics	ABEO
Actinium Pharmaceuticals	ATNM
ADMA Biologics	ADMA
AGNC Investment Corp.	AGNC
Airborne Wireless Network	ABWN
AIT Therapeutics	AITB
Akers Biosciences	AKER
Alliance MMA, Inc.	AMMA
Allstate Corporation Preferreds	ALL
Amazing Energy Oil and Gas, Inc.	AMAZ
Amedica Corporation	AMDA
American Restaurants Concepts (ARC) Group	ARCK
Annaly Capital Management	NLY
Applied DNA Sciences	APDN
Arch Therapeutics	ARTH
Ares Management LP	ARES
Aridis Pharmaceuticals	ARDS
Ashford Inc.	AINC
Aslan Pharmaceuticals	ASLN
Athenex, Inc.	ATNX
Atossa Genetics	ATOS
Aytu Bioscience Inc.	AYTU
B. Riley Financial, Inc. 7.375% Senior Notes 2023	RILY
Barfresh Food Group	BRFH
Beigene, Ltd.	BGNE
Beyond Commerce, Inc.	BYOC
Biocept, Inc.	BIOC
Bio-Key International, Inc.	BKEY
Bionano Genomics, Inc.	BNGOU
BioPharmX Corp	BPMX
Biostem Technologies Inc.	BSEM
Borqs Technologies	BRQS
Brainstorm Cell Therapeutics	BCLI
Cancer Genetics	CGIX
CCUR Holdings Inc.	CCUR
Cesca Therapeutics	KOOL
ChaSerg Technology Acquisition Corp.	CTACU
Churchill Cap Corp	CCC
Coherus Biosciences	CHRS
Contrafect Corporation	CFRX
Contravir Pharmaceuticals	CTRV
Coresite Realty Corporation	COR
Cytori Therapeutics	CYTX
Del Frisco's Restaurant Group, Inc.	DFRG
Dolphin Entertainment Inc.	DLPN

Eagle Point Credit Co. 2028, 6.6875%	ECC
Eaton Vance Floating Rate 2022 Target Term Trust	EV
Ecoark Holdings, Inc.	ZEST
Electrameccanica Vehicles Corp.	SOLO
Ellex Medical Lasers	ELXMF
Entera Bio Ltd.	ENTX
Evoform Biosciences	EVFM
First Capital Real Estate Advisors LP	FCAP
Galmed Pharmaceuticals, Inc.	GLMD
Gemphire Therapeutics	GEMP
Genprex Inc.	GNPX
Gigcapital, Inc.	GIG
Gladstone Commercial Corp	GOOD
Gladstone Land Corporation	LAND
Glowpoint, Inc.	GLOW
Gordon Pointe Acquisition Corp	GPAQ
Great Elm Capital Corp. 2024, 6.75% Notes	GECC
Greenland Acquisition Corp.	GLACU
Helios & Matheson, Inc.	HMNY
Hemispherex Biopharma	HEB
Immune Pharmaceuticals	IMNP
Immunocellular Therapeutics	IMUC
Implanet S.A.	ALIMP
Inovio Pharmaceuticals	INO
Intec Pharma	NTEC
Interpace Diagnostics	IDXG
IPic Entertainment Inc.	IPIC
Jaguar Health Inc.	JAGX
Ladenburg Thalmann Financial Services, Inc.	LTS
Level Brands, Inc.	LEVB
LF Capital Acquisition Corp.	LFAC
LM Funding America	LMFA
Long Island Iced Tea Corp	LBCC
Longevity Acquisition Corp.	LOACU
Magnegas Corporation	MNGA
Malvern Bancorp Inc.	MLVF
Manufactured Housing Properties Inc.	MHPC
Marina Biotech, Inc.	MRNA
Matador Resources Company	MTDR
Materialise NV	MTLS
Matinas Biopharma Holdings	MTNB
Medicinova Inc.	MNOV
Mesoblast Limited	MESO
Monmouth Real Estate Investment Corp	MNR
Monroe Capital Corp.	MRCC
MVC Capital Inc.	MVC
National Energy Services Reunited	NESR

New Age Beverage Corp.	NBEV
New Residential Investments Corp.	NRZ
New York Mortgage Trust, Inc.	NYMT
Nobilis Therapeutics	HLTH
NXT, ID, Inc,	NXTD
Ocular Therapeutix, Inc.	OCUL
OFS Credit Co.	OCCI
Omeros Corporation	OMER
Onconova Therapeutics, Inc.	ONTX
Oncosec Medical Inc	ONCS
PAVmed, Inc.	PAVM
Payment Data Systems	PYDS
Pennant Park Floating Rate Capital Ltd.	PNNT
Perficient, Inc.	PRFT
Planet Ecosystems	PRFT
Predictive Technology Group	PRED
Priority Income Fund 2025, 6.375%	PRIF
Provectus	PVCT
Ra Medical Systems Inc.	PVCT
Recon Technolgy	RCON
Reed's Inc.	REED
RegenereX Pharmaceuticals	RGRX
Restoration Robotics, Inc.	HAIR
Ricebran Technologies	RIBT
RumbleOn, Inc.	RMBL
Saratoga Investment Corp 2025, 6.25%	RMBL
SciClone Pharmaceuticlas	SCLN
Seanergy	SHIP
Seaspan Corporation	SSW
SELLAS Life Sciences Group, Inc.	SLS
Senestech, Inc.	SLS
Sensus Healthcare	SNES
Sino Global Shipping	SINO
SITO Mobile	SITO
Spark Energy Inc.	SPKE
Sphere 3D Corporation	ANY
T2 Biosystems	ANY
Tenzing Acquisition Corp.	TZAC
Titan Medical	TMDI
TopShips Inc.	TOPS
Trident Acquisitions Corp.	TDAC
Trinity Merger Corp.	TMCX
True Drinks, Inc.	TRUU
Twelve Seas Investment Corp.	TWLV
Vectoiq Acquisition Corp.	VTIQ
Vericel Corporation	VCEL
Viking Therapeutics	VKTX

Viveve Medical Inc.	VIVE
VolitionRx	VNRX
Vuzix Corporation	VUZI
Walker Innovation	WLKR
Wenin Fund	VUZI
Wheeler REIT Pfd. D 8.75%	WHLR
Workhorse Group, Inc.	WKHS
XAI Octagon Floating Rate & Alternative Income Term Trust	XFLT
Xencor	XNCR
Xenetic Biosciences	XBIO
xG Technology Inc.	XGTI