

## Maxim Group Coverage Universe

Published as of 1/4/2016	Ticker	Rating	Price 1/3/2016	Target Price	52-Week Low	52-Week High	Market Cap (\$M)	ADV (M)
<b>HEALTHCARE</b>								
<b>Anthony Vendetti (212-895-3802)</b>								
<i>Aesthetic Devices</i>								
Cutera Inc.	CUTR	Buy	\$12.79	\$20.00	\$10.59	\$16.37	\$165	0.1
Cynosure, Inc.	CYNO	Buy	\$44.67	\$52.00	\$27.02	\$45.05	\$1,014	0.2
Syneron Medical Ltd.	ELOS	Buy	\$7.71	\$14.00	\$6.10	\$12.90	\$282	0.2
Zeltiq Aesthetics, Inc.	ZLTQ	Buy	\$28.53	\$50.00	\$25.79	\$38.49	\$1,113	0.6
<i>Health &amp; Wellness</i>								
Hain Celestial Group Inc.	HAIN	Buy	\$40.39	\$72.00	\$38.12	\$70.65	\$4,159	1.8
Reed's, Inc.	REED	Buy	\$5.38	\$10.00	\$4.25	\$7.00	\$71	0.0
RiceBran Technologies	RIBT	Buy	\$1.90	\$5.00	\$1.70	\$4.67	\$18	0.0
Whitewave Foods Company	WWAV	Buy	\$38.91	\$54.00	\$32.37	\$52.53	\$6,856	2.0
<i>Healthcare IT &amp; Services</i>								
Allscripts Healthcare Solutions Inc.	MDRX	Buy	\$15.38	\$18.00	\$11.33	\$15.78	\$2,907	2.6
Express Scripts, Inc	ESRX	Buy	\$87.41	\$109.00	\$68.06	\$94.61	\$59,113	4.3
<i>Medical Devices</i>								
Milestone Scientific Inc	MLSS	Buy	\$2.35	\$5.00	\$1.90	\$4.00	\$50	0.0
NeuroMetrix, Inc	NURO	Buy	\$1.98	\$2.00	\$1.88	\$8.20	\$7	0.1
<b>Jason Kolbert (212-895-3516)</b>								
<b>Jason McCarthy, Ph.D. (212-895-3556)</b>								
<i>Biotechnology</i>								
Achillion Pharmaceuticals, Inc.	ACHN	Buy	\$10.79	\$11.00	\$6.41	\$16.54	\$1,473	2.5
Adams Pharmaceuticals Corporation	ADMP	Buy	\$5.40	\$16.00	\$3.05	\$7.26	\$73	0.0
Aeterna Zentaris Inc.	AEZS	Buy	\$4.48	\$11.00	\$3.19	\$88.90	\$30	1.2
Anavex Life Sciences Corporation	AVXL	Buy	N/A	\$15.00	N/A	N/A	N/A	N/A
Athersys Corporation	ATHX	Buy	\$1.03	\$5.00	\$0.90	\$3.43	\$86	0.3
Benitec BioPharma*	BNTC	Buy	\$4.02	\$14.00	\$3.95	\$16.48	\$29	0.0
BioLine Rx, Ltd.	BLRX	Buy	\$1.30	\$4.00	\$1.03	\$3.28	\$7	0.2
Bio-Path Holdings, Inc.	BPTH	Buy	\$1.25	\$5.00	\$0.99	\$2.69	\$112	0.1
Brainstorm Cell Therapeutics	BCLI	Buy	\$2.86	\$5.00	\$2.17	\$8.47	\$53	0.1
Caladrius Biosciences, Inc.	CLBS	Buy	\$1.08	\$4.00	\$1.01	\$4.26	\$60	0.3
Capnia, Inc.	CAPN	Buy	\$1.85	\$3.00	\$1.02	\$9.90	\$24	0.3
Cesca Therapeutics, Inc.	KOOL	Hold	\$0.18	NA	\$0.18	\$1.11	\$7	0.1
CHECK-CAP LTD	CHEK	Buy	\$1.89	\$18.00	\$1.80	\$6.30	\$20	0.0
ContraFect Corp.	CFRX	Buy	\$4.75	\$9.00	\$3.00	\$6.24	\$131	0.1
Cytori Therapeutics, Inc.	CYTX	Buy	\$0.19	\$5.00	\$0.16	\$1.47	\$29	1.7
DelMar Pharmaceuticals Inc.*	DMPI	Buy	\$0.94	\$8.00	\$0.43	\$1.53	\$37	0.1
Epigenomics AG	ECX	Buy	2.22 €	10.00 €	1.74 €	6.91 €	40.16 €	0.1
EyeGate Pharmaceuticals, Inc.	EYEG	Buy	2.74 €	11.00 €	2.39 €	35.00 €	20.97 €	0.1
Galena Biopharma, Inc.	GALE	Buy	\$1.47	\$4.00	\$1.10	\$2.39	\$238	1.2
Galmed Pharmaceuticals	GLMD	Buy	\$7.61	\$24.00	\$5.54	\$13.50	\$84	0.0
Gilead Sciences, Inc.	GILD	Buy	\$101.19	\$137.00	\$86.00	\$123.37	\$145,833	10.6
ImmunoCellular Therapeutics, Inc.	IMUC	Buy	\$0.36	\$3.00	\$0.34	\$0.82	\$32	0.5
Intellipharmaceutics International, Inc.	IPCI	Buy	\$2.02	\$6.00	\$1.73	\$3.92	\$48	0.1
Intec Pharma	NTEC	Buy	\$5.36	\$9.00	\$5.25	\$6.19	\$61	0.0
IsoRay, Inc.	ISR	Buy	\$0.94	\$3.00	\$0.86	\$3.79	\$52	0.4
Keryx Biopharmaceuticals, Inc.	KERX	Buy	\$5.05	\$11.00	\$3.04	\$14.99	\$531	2.4
Mesoblast Limited	MSB-AU	Buy	\$1.81	\$14.00	\$1.35	\$4.60	\$690	1.0
Medivation, Inc.	MDVN	Buy	\$48.34	\$54.00	\$37.63	\$70.79	\$7,914	1.8
NovaBay Pharmaceuticals, Inc	NBY	Buy	\$2.02	\$0.23	\$1.62	\$26.25	\$7	0.0
Oculus Innovative Sciences, Inc.	OCLS	Buy	\$1.15	\$3.00	\$0.65	\$1.97	\$19	0.2
Omeros Corporation	OMER	Buy	\$15.73	\$30.00	\$10.48	\$30.23	\$597	0.7
OncoSec Medical Inc.	ONCS	Buy	\$2.37	\$17.00	\$2.23	\$9.50	\$40	0.1
Pluristem Therapeutics Inc.	PSTI	Buy	\$1.13	\$3.00	\$0.98	\$3.78	\$90	0.3
Rock Creek Pharmaceuticals	RCPI	Buy	N/A	\$4.00	N/A	N/A	N/A	N/A
Soligenix, Inc.*	SNGX	Buy	\$1.13	\$3.00	\$0.44	\$2.95	\$31	0.2
Stem Cells, Inc.	STEM	Buy	\$0.42	\$3.00	\$0.31	\$1.39	\$45	0.8
Sophiris Bio, Inc.	SPHS	Buy	\$1.78	\$4.00	\$0.42	\$3.85	\$30	0.9
Sucampo Pharmaceuticals, Inc.	SCMP	Buy	\$17.29	\$25.00	\$12.87	\$29.67	\$784	0.9
Teva Pharmaceutical Industries Ltd.	TEVA	Buy	\$65.64	\$74.00	\$54.17	\$72.31	\$66,639	5.6
Trovagene, Inc.	TROV	Buy	\$5.40	\$13.00	\$4.21	\$13.58	\$161	0.4
<b>Jason McCarthy (212-895-3556)</b>								
<i>Biotechnology</i>								
Abeona Therapeutics, Inc.	ABEO	Buy	\$3.36	\$6.00	\$2.75	\$11.15	\$110	0.1
ADMA Biologics, Inc.	ADMA	Buy	\$8.07	\$24.00	\$7.51	\$11.95	\$86	0.0
Agenus, Inc.	AGEN	Hold	\$4.54	-	\$3.75	\$10.16	\$383	1.3
Arch Therapeutics	ARTH	Buy	\$0.20	\$3.00	\$0.17	\$0.39	\$22	0.5
Bavarian Nordic, Inc.	BAVA	Buy	\$62.21	\$57.00	\$30.01	\$68.78	\$1,363	0.1

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<i>Jason McCarthy cont'd</i>								
bluebird bio, Inc.	BLUE	Buy	\$64.22	\$190.00	\$48.85	\$197.35	\$2,355	1.5
Celsion Corporation	CLSN	Buy	\$1.92	\$12.00	\$1.61	\$3.57	\$45	0.2
Celyad	CYAD	Buy	48.40 €	100.00 €	30.50 €	70.95 €	450.78 €	0.0
ImmunoVaccine Inc.	IMMVF	Buy	\$0.51	\$3.00	\$0.51	\$1.03	\$47	0.0
Inovio Pharmaceuticals, Inc.	INO	Buy	\$6.72	\$23.00	\$5.32	\$10.83	\$485	1.3
Juno Therapeutics, Inc.	JUNO	Buy	\$78.00	\$78.00	\$33.00	\$69.28	\$4,424	1.2
Kite Pharma, Inc.	KITE	Buy	\$87.00	\$87.00	\$44.01	\$89.84	\$2,967	0.9
Mast Therapeutics, Inc.	MSTX	Buy	\$0.42	\$5.00	\$0.33	\$0.63	\$69	1.1
Matinas Biopharma, Inc.	MTNB	Buy	\$0.85	\$6.00	\$0.31	\$1.46	\$49	0.0
Momenta Pharmaceuticals, Inc.	MNTA	Sell	\$14.84	\$7.00	\$10.22	\$25.56	\$1,024	0.7
Neovacs S.A.*	ALNEV-FR	Buy	\$1.12	\$4.00	\$0.66	\$1.47	\$36	0.6
Opexa Therapeutics, Inc.	OPXA	Buy	\$2.77	\$18.00	\$2.33	\$8.64	\$19	0.2
Radius Health, Inc.	RDUS	Hold	\$61.54	-	\$34.76	\$84.64	\$2,641	1.0
Stellar Biotechnologies, Inc.	SBOT	Buy	\$6.49	\$17.00	\$5.08	\$11.92	\$55	0.0
Vertex Pharmaceuticals	VRTX	Hold	\$125.83	\$121.00	\$97.45	\$143.45	\$30,746	1.9
<b>FINANCIAL SERVICES</b>								
<b>Michael Diana (212-895-3641)</b>								
<i>BDCs</i>								
Apollo Investment Corp.	AINV	Buy	\$5.22	\$8.00	\$4.78	\$8.03	\$1,218	1.8
Fifth Street Finance Corp.	FSC	Buy	\$6.38	\$7.50	\$5.52	\$8.56	\$959	1.2
Fifth Street Senior Floating Rate Corp.	FSFR	Buy	\$8.57	\$11.00	\$7.34	\$11.10	\$253	0.1
Full Circle Capital Corporation	FULL	Buy	\$2.47	\$4.00	\$2.41	\$5.00	\$56	0.1
Garrison Capital Inc.	GARS	Buy	\$12.17	\$15.00	\$11.89	\$15.48	\$204	0.0
Horizon Technology Finance Corp.	HRZN	Buy	\$11.73	\$14.00	\$9.04	\$14.59	\$137	0.1
Oxford Lane Capital Corp.	OXLC	Hold	\$9.96	-	\$8.85	\$16.18	\$178	0.1
PennantPark Floating Rate Capital Ltd.	PFLT	Buy	\$11.25	\$14.00	\$9.87	\$14.55	\$301	0.1
Solar Senior Capital Ltd.	SUNS	Buy	\$14.90	\$17.50	\$14.02	\$16.70	\$172	0.0
THL Credit, Inc.	TCRD	Buy	\$10.70	\$13.25	\$9.97	\$12.70	\$357	0.1
<i>REITS</i>								
AG Mortgage Investment Trust	MITT	Buy	\$12.84	\$18.50	\$12.45	\$19.61	\$365	0.2
American Capital Agency Corp.	AGNC	Buy	\$17.34	\$23.00	\$16.89	\$22.36	\$6,007	3.3
American Capital Mortgage Investment Corp.	MTGE	Buy	\$13.96	\$20.00	\$13.63	\$19.39	\$698	0.6
Apollo Residential Mortgage, Inc.	AMTG	Buy	\$11.95	\$17.25	\$11.34	\$16.50	\$379	0.3
Arlington Asset Investment Corp.	AI	Buy	\$13.23	\$21.00	\$12.07	\$27.18	\$303	0.3
Capstead Mortgage Corporation	CMO	Buy	\$8.74	\$12.00	\$8.64	\$12.58	\$838	0.9
Dynex Capital, Inc.	DX	Buy	\$6.35	\$8.25	\$6.10	\$8.56	\$312	0.3
Ellington Financial LLC	EFC	Buy	\$16.78	\$23.00	\$16.24	\$21.67	\$561	0.1
Gladstone Land Corporation	LAND	Buy	\$8.65	\$14.00	\$8.20	\$12.39	\$86	0.0
New York Mortgage Trust, Inc.	NYMT	Buy	\$5.33	\$7.00	\$4.94	\$8.12	\$583	1.1
Orchid Island Capital, Inc.	ORC	Buy	\$9.93	\$11.50	\$7.13	\$14.25	\$216	0.3
Wheeler Real Estate Investment Trust Inc.	WHLR	Buy	\$1.93	\$2.50	\$1.60	\$4.14	\$128	0.1
Redwood Trust, Inc.	RWT	Buy	\$13.20	\$20.00	\$12.34	\$20.43	\$1,084	0.6
Two Harbors Investment Corp.	TWO	Buy	\$8.10	\$10.50	\$7.80	\$11.00	\$2,949	2.8
Whitestone REIT	WSR	Buy	\$12.01	\$18.50	\$9.90	\$16.39	\$324	0.2
<i>Small-Mid Cap Banks</i>								
Access National Corporation	ANCX	Buy	\$20.46	\$24.00	\$16.80	\$23.29	\$216	0.0
Connectone Bancorp, Inc.	CNOB	Buy	\$18.69	\$23.00	\$17.32	\$22.55	\$562	0.1
Customers Bancorp, Inc.	CUBI	Buy	\$27.22	\$34.00	\$17.85	\$31.63	\$732	0.2
Cullen/Frost Bankers, Inc.	CFR	Buy	\$60.00	\$85.00	\$59.27	\$80.23	\$3,739	0.6
ESSA Bancorp, Inc.	ESSA	Buy	\$13.68	\$16.00	\$11.65	\$13.82	\$155	0.0
EverBank Financial Corp	EVER	Buy	\$15.98	\$22.00	\$15.87	\$21.18	\$1,997	0.7
First Community Financial Partners, Inc.	FCFP	Buy	\$7.24	\$8.00	\$5.14	\$7.31	\$123	0.0
First Internet Bancorp	INBK	Buy	\$28.69	\$37.00	\$14.25	\$39.76	\$129	0.0
First Republic Bank	FRC	Buy	\$66.06	\$75.00	\$46.70	\$69.76	\$9,388	0.8
Signature Bank	SBNY	Buy	\$153.37	\$175.00	\$113.98	\$163.15	\$7,717	0.5
Suffolk Bancorp	SUBK	Buy	N/A	\$33.00	N/A	N/A	N/A	N/A
SVB Financial Group	SIVB	Buy	\$118.90	\$170.00	\$100.76	\$152.99	\$6,123	0.5
TriState Capital Holdings, Inc.	TSC	Buy	\$13.99	\$16.00	\$9.01	\$14.44	\$392	0.1
Westamerica Bancorporation	WABC	Hold	\$46.75	-	\$40.57	\$52.40	\$1,193	0.2
<b>RESTAURANTS</b>								
<b>Stephen Anderson (212-895-3845)</b>								
<i>Restaurants</i>								
Buffalo Wild Wings, Inc.	BWLD	Buy	\$159.65	\$205.00	\$147.69	\$205.83	\$3,039	0.5
Brinker International	EAT	Buy	\$47.95	\$60.00	\$43.20	\$63.40	\$2,856	1.2
Chipotle Mexican Grill	CMG	Hold	\$479.85	\$435.00	\$477.97	\$758.61	\$14,965	1.0
Darden Restaurants	DRI	Buy	\$63.64	\$73.00	\$51.53	\$67.70	\$8,155	2.0
Panera Bread	PNRA	Buy	\$194.78	\$210.00	\$153.00	\$208.00	\$4,636	0.5
Dunkin Brands Group, Inc.	DNKN	Buy	\$42.59	\$57.00	\$39.29	\$56.79	\$3,946	1.6
Domino's Pizza, Inc.	DPZ	Buy	\$111.25	\$125.00	\$93.16	\$119.73	\$6,080	0.6
Popeyes Louisiana Kitchen, Inc.	PLKI	Buy	\$58.50	\$68.00	\$50.57	\$66.49	\$1,315	0.2
Red Robin Gourmet Burgers, Inc.	RRGB	Buy	\$61.74	\$98.00	\$60.07	\$95.00	\$860	0.2

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<i>Stephen Anderson cont'd</i>								
Texas Roadhouse, Inc.	TXRH	Buy	\$35.77	\$45.00	\$31.55	\$40.82	\$2,503	0.6
Zoe's Kitchen	ZOES	Buy	\$27.98	\$44.00	\$25.86	\$46.61	\$542	0.4
<b>TECHNOLOGY / MEDIA / TELECOM</b>								
<b>Brian Kinstlinger, CFA (212-895-3578)</b>								
<i>Software &amp; Services</i>								
Applied DNA Sciences Inc.	APDN	Buy	\$3.24	\$13.00	\$2.27	\$9.70	\$78	0.6
CACI International Inc.	CACI	Buy	\$92.78	\$115.00	\$72.19	\$104.09	\$2,249	0.2
Ciber, Inc.	CBR	Buy	\$3.51	\$7.00	\$3.00	\$4.21	\$279	0.1
COPYSYNC, Inc.	COYN	Buy	\$2.06	\$5.00	\$1.44	\$21.50	\$15	0.0
Engility Holdings, Inc.	EGL	Buy	\$32.48	\$46.00	\$20.93	\$49.00	\$1,193	0.1
Evolving Systems Inc.	EVOL	Buy	\$5.50	\$10.00	\$5.24	\$10.25	\$64	0.1
General Employment Enterprises, Inc.	JOB	Buy	\$5.94	\$12.00	\$3.60	\$17.40	\$55	0.0
Information Services Group, Inc.	III	Buy	\$3.62	\$7.00	\$3.07	\$4.97	\$135	0.0
KEYW Holding Corp.	KEYW	Buy	\$6.02	\$11.00	\$5.23	\$12.59	\$240	0.3
Mantech International Corp	MANT	Buy	\$30.24	\$43.00	\$24.90	\$35.23	\$737	0.2
Majesco	MJCO	Buy	\$6.00	\$9.00	\$4.21	\$6.08	\$219	0.0
MAXIMUS, Inc.	MMS	Buy	\$56.25	\$87.00	\$47.95	\$70.00	\$3,675	0.5
NIC Inc.	EGOV	Hold	\$19.68	\$18.00	\$15.16	\$20.90	\$1,291	0.2
Perficient Inc.	PRFT	Buy	\$17.12	\$22.00	\$14.90	\$21.57	\$605	0.1
Syntel, Inc.	SYNT	Hold	\$45.25	-	\$41.07	\$52.99	\$3,796	0.2
Tyler Technologies, Inc.	TYL	Buy	\$174.32	\$219.00	\$103.18	\$184.01	\$5,916	0.2
Virtusa Corp.	VRTU	Buy	\$41.34	\$66.00	\$36.56	\$59.40	\$1,232	0.2
WNS (Holdings) Ltd.	WNS	Buy	\$31.19	\$34.00	\$19.36	\$34.37	\$1,631	0.2
<b>Nehal Chokshi (212-895-3642)</b>								
<i>Enterprise &amp; Consumer Technology</i>								
Apple Inc.	AAPL	Hold	\$105.26	\$167.00	\$92.00	\$134.54	\$586,859	50.0
EMC Corporation	EMC	Hold	\$25.68	\$32.00	\$22.66	\$30.05	\$49,789	18.1
Hewlett Packard Enterprise Company	HPE	Hold	\$15.20	\$13.50	\$13.12	\$18.50	\$26,486	12.7
HP Inc.	HPQ	Buy	\$11.84	\$17.00	\$11.03	\$18.66	\$21,215	28.8
Intevac, Inc.	IVAC	Hold	\$4.71	\$6.70	\$4.28	\$7.81	\$103	0.0
NetApp, Inc.	NTAP	Buy	\$26.53	\$45.00	\$25.21	\$41.79	\$7,756	3.6
Nimble Storage, Inc.	NMBL	Hold	\$9.20	\$15.00	\$9.14	\$32.16	\$743	1.3
Seagate Technology PLC	STX	Hold	\$36.66	\$45.00	\$32.38	\$67.21	\$10,881	4.8
Super Micro Computer, Inc.	SMCI	Buy	\$24.51	\$46.00	\$22.31	\$42.00	\$1,166	0.6
UBIC, Inc.	UBIC	Buy	\$15.08	\$20.00	\$10.03	\$22.00	\$269	0.0
Violin Memory, Inc.	VMEM	Hold	\$0.90	\$2.00	\$0.82	\$4.89	\$89	1.3
VMware, Inc.	VMW	Hold	\$56.57	\$75.00	\$52.72	\$93.43	\$6,890	2.6
Western Digital Corporation	WDC	Buy	\$60.05	\$118.00	\$57.94	\$113.88	\$13,915	3.6
<b>INDUSTRIALS &amp; INFRASTRUCTURE</b>								
<b>William Bremer (212-895-3835)</b>								
<i>Industrials &amp; Infrastructure</i>								
A.O. Smith Corporation	AOS	Buy	\$76.61	\$84.00	\$50.09	\$81.15	\$6,743	0.8
Astec Industries, Inc.	ASTE	Buy	\$52.00	\$48.00	\$30.76	\$45.48	\$936	0.1
EnerSys	ENS	Hold	\$55.93	-	\$49.21	\$73.27	\$2,483	0.4
ENSERVCO Corp.	ENSV	Buy	\$0.54	\$2.00	\$0.45	\$2.44	\$21	0.1
Flowserve Corporation	FLS	Buy	\$42.08	\$55.00	\$39.46	\$64.41	\$276	1.5
Granite Construction	GVA	Buy	\$42.91	\$50.00	\$28.45	\$44.40	\$30	0.2
MasTec Inc.	MTZ	Buy	\$17.38	\$24.00	\$14.48	\$22.79	\$199	1.3
MRC Global, Inc.	MRC	Hold	\$12.90	-	\$10.20	\$17.62	\$80	2.1
MYR Group Inc.	MYRG	Buy	\$20.61	\$28.00	\$18.18	\$32.24	\$198	0.2
Profire Energy, Inc.	PFIE	Hold	\$0.99	-	\$0.76	\$2.96	\$53	0.1
PowerSecure International, Inc.	POWR	Buy	\$15.05	\$17.00	\$9.10	\$16.94	\$183	0.2
Quanta Services Inc.	PWR	Buy	\$20.25	\$28.00	\$18.46	\$30.61	\$142	4.0
Sterling Construction Company, Inc.	STRL	Buy	\$6.08	\$8.00	\$2.23	\$6.66	\$30	0.1
Woodward, Inc.	WWD	Buy	\$49.66	\$60.00	\$39.68	\$56.55	\$76	0.3
<b>Research Associates</b>								
Young "Lucas" Lee (212-895-3509)								
Enrico Manetta (212-895-3638)								
Fan "Rebecca" Wang (212-895-3619)								
Travis DiGiacomo (212-895-3527)								
Josh Seide (212-895-3657)								
Gabrielle Zhou (212-895-3784)								
<b>SPOTLIGHT COMPANIES</b>								
Aemetis, Inc.	AMTX	NR						
ADGS Advisory Limited*	ADGS	NR	\$0.23	-	\$0.12	\$1.00	\$8	0.0
Advanced Inhalation Therapies Ltd.	AIT	NR	\$40.49	-	\$37.00	\$46.05	\$1,592	0.2
Andromeda Biotech Ltd.	N/A	NR						
BioHeart, Inc.	BHRT	NR	\$0.88	-	\$0.25	\$22.90	\$1	0.0

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<i>Spotlight Companies cont'd</i>								
BioTime	BTX	NR	\$3.79	-	\$2.03	\$5.50	\$389	0.3
BlueSphere Corp.	BLSF	NR	\$0.04	-	\$0.01	\$0.23	\$4	0.5
CalAmp Corp.	CAMP	NR	\$19.93	-	\$14.01	\$21.82	\$729	0.4
Clean Coal Technologies, Inc.	CCTC	NR	\$0.68	-	\$0.05	\$1.09	\$41	0.2
Critical Outcome Technologies Inc.*	COT	NR	\$0.21	-	\$0.17	\$0.28	\$27	0.0
Cytomedix	CMXI	NR	N/A	-	N/A	N/A	N/A	N/A
Echo Therapeutics, Inc.	ECTE	NR	\$1.42	-	\$1.16	\$3.00	\$16	0.0
FluoroPharma Medical, Inc.	FPMI	NR	\$0.30	-	\$0.14	\$0.55	\$10	0.1
Intec Pharma Ltd.	INTP	NR	N/A	-	N/A	N/A	N/A	N/A
Itamar Medical Ltd.	ITMR	NR	ILS 1.4	-	ILS 1.4	ILS 2.0	ILS 370.9	0.2
MagneGas Corporation	MNGA	NR	\$1.61	-	\$0.42	\$2.50	\$66	1.1
Medifocus, Inc.*	MDFZF	NR	\$0.03	-	\$0.02	\$0.13	\$5	0.1
Medigus Ltd.	MDGS	NR	ILS 2.7	-	ILS 2.5	ILS 51.7	ILS 0.9	0.0
Milestone Scientific Inc.	MLSS	NR	\$2.35	\$5.00	\$1.90	\$4.00	\$50	0.0
NanoViricides Inc.	NNVC	NR	\$1.18	-	\$0.89	\$3.15	\$68	0.1
NeuroDerm Ltd.	NDRM	NR	\$17.05	-	\$9.11	\$26.50	\$367	0.2
Organovo Holdings, Inc.	ONVO	NR	\$2.49	-	\$1.90	\$7.42	\$230	1.0
Osiris Therapeutics, Inc.	OSIR	NR	\$10.38	-	\$9.76	\$23.67	\$358	0.2
RedHill Biopharma Ltd.	RDHL	NR	ILS 5.0	-	ILS 4.3	ILS 9.1	ILS 636.8	0.2
ReNeuron Group	RENE	NR	\$3.00	-	\$2.26	\$6.50	\$95	2.6
Tengion, Inc.	TNGN	NR	\$0.00	-	\$0.00	\$0.00	\$0	0.1
Vascular Biogenics Ltd.	VBLX	NR	\$11.15	-	N/A	N/A	\$207	N/A
Verity Corp.*	VRTY	NR	\$0.05	-	\$0.02	\$0.18	\$0	0.0
Warren Resources, Inc.	WRES	NR	\$0.21	-	\$0.14	\$1.67	\$18	0.8
XTL Biopharmaceuticals, Ltd.	XTLB	NR	ILS 0.3	-	ILS 0.3	ILS 0.5	ILS 80.7	0.2

#### SUSPENDED COMPANIES

Ardmore Shipping Corporation	ASC	Suspended
Arno Therapeutics*	ARNI	Suspended
athenahealth Inc.	ATHN	Suspended
Baltic Trading Limited	BALT	Suspended
Cerner Corporation	CERN	Suspended
CorMedix Inc.	CRMD	Suspended
Darling International Inc.	DAR	Suspended
Dendreon Corp.	DNDN	Suspended
Deyu Agriculture Corp.	DEYU	Suspended
DHT Holdings, Inc.	DHT	Suspended
Diana Shipping Inc.	DSX	Suspended
D/S Norden	DNORD	Suspended
EQT Corporation	EQT	Suspended
Euroseas Ltd.	ESEA	Suspended
Genco Shipping & Trading	GNK	Suspended
Glori Energy, Inc.	GLRI	Suspended
Harvard Apparatus Regenerative Technology Inc.	HART	Suspended
Hemispherx Biopharma, Inc.	HEB	Suspended
Hemisphere Media Group, Inc.	HMTV	Suspended
IAC/InterActiveCorp	IACI	Suspended
Idenix Pharmaceuticals, Inc.	IDIX	Suspended
LivePerson Inc.	LPSN	Suspended
Magic Software Enterprises Ltd.	MGIC	Suspended
MVC Capital Inc	MVC	Suspended
National Fuel Gas Co.	NFG	Suspended
Navios Maritime Acquisition Corp	NNA	Suspended
NetSol Technologies, Inc.	NTWK	Suspended
Nile Therapeutics, Inc.	NLTX	Suspended
Nordic American Tankers Ltd.	NAT	Suspended
Paragon Shipping Inc.	PRGN	Suspended
Quality Systems Inc.	QSII	Suspended
Quest Resource Holding Corporation	QRHC	Suspended
Remark Media, Inc.	MARK	Suspended
Rocket Fuel, Inc.	FUEL	Suspended
RR Media Ltd	RRM	Suspended
Scorpio Tankers Inc.	STNG	Suspended
Star Bulk Carriers Corp.	SBLK	Suspended
StealthGas, Inc.	GASS	Suspended
Tsakos Energy Navigation Ltd.	TNP	Suspended
TubeMogul, Inc.	TUBE	Suspended
U.S. Geothermal Inc.	HTM	Suspended
Williams Companies, Inc.	WMB	Suspended
Aeropostale Inc.	ARO	Suspended
Chico's FAS, Inc.	CHS	Suspended
Coffee Holding Company	JVA	Suspended
Guess?, Inc.	GES	Suspended

Published as of 1/4/2016	Ticker	Rating	Price 1/3/2016	Target Price	52-Week Low	52-Week High	Market Cap (\$M)	ADV (M)
<i>Suspended Companies cont'd</i>								
J.C. Penney Company, Inc.	JCP	Suspended						
Kohl's Corp.	KSS	Suspended						
Macy's Inc.	M	Suspended						
Michael Kors Holdings Ltd.	KORS	Suspended						
Nordstrom, Inc.	JWN	Suspended						
Ralph Lauren Corporation	RL	Suspended						
Ross Stores, Inc.	ROST	Suspended						
Urban Outfitters, Inc.	PLCE	Suspended						
The Children's Place Retail Stores	URBN	Suspended						
* Institutional Use Only								
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